

## CESC Limited

### Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1	Name of Listed Entity: CESC Limited			
2	Scrip Code/Name of Scrip/Class of Security: 500084 (BSE)			
3	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)			
a.	If under 31(1)(b) then indicate the report for Quarter ending: September 30, 2021			
b.	If under 31(1)(c) then indicate date of allotment/extinguishment: -			
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-			
Sl. No.	Particulars	Promoter & Promoter Group	Public	Non Promoter Non Public
		Yes/No	Yes/No	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No	No	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No
4	Whether the Listed Entity has any shares in locked-in?	No	No	No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	NA	NA
6	Whether the Listed Entity has issued any Differential Voting Rights?	No	No	No



**CESC Limited**

**Table I - Summary Statement holding of specified securities**

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI)= (VII)+(X) As a % of	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group	15	690770560	0	0	690770560	52.11	690770560	0	690770560	52.11	0	52.11	0	0	0	0	690770560
(B)	Public	176087	634799870	0	0	634799870	47.89	634799870	0	634799870	47.89	0	47.89	0	0	NA	NA	623056890
(C)	Non Promoter - Non Public	0	0	0	0	0	NA	0	0	0	0.00	0	0	0	NA	NA	0	
(C1)	Shares Underlying DRs	0	0	0	0	0	NA	0	0	0	0.00	0	0	0	NA	NA	0	
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0	0	0	0	0.00	0	0	0	NA	NA	0	
	<b>Total</b>	<b>176102</b>	<b>1325570430</b>	<b>0</b>	<b>0</b>	<b>1325570430</b>	<b>100.00</b>	<b>1325570430</b>	<b>0</b>	<b>1325570430</b>	<b>100.00</b>	<b>0</b>	<b>100.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1313827450</b>



**CESC Limited**

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sl. No.	Category & Name of the shareholders	Entity type i.e. Promoter or Promoter Group entity (except promoter)	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
										No. of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
										Class eg: X	Class eg: y	Total								
1	(I)	(II)	(II)(A)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
<b>1</b>	<b>Indian</b>																			
(a)	<b>Individuals / Hindu Undivided Family</b>			5	2842220	0	0	2842220	0.21	2842220	0	2842220	0.21	0	0.21	0	0	0	0	2842220
	Sanjiv Goenka	Promoter	AEFPG4689G	1	1347940	0	0	1347940	0.10	1347940	0	1347940	0.10	0	0.10	0	0	0	0	1347940
	Shashwat Goenka	Promoter Group	AOUPG0553L	1	1114080	0	0	1114080	0.08	1114080	0	1114080	0.08	0	0.08	0	0	0	0	1114080
	Preeti Goenka	Promoter Group	AEFPG4690B	1	252230	0	0	252230	0.02	252230	0	252230	0.02	0	0.02	0	0	0	0	252230
	Sanjiv Goenka HUF	Promoter Group	AAFHS9493E	1	122960	0	0	122960	0.01	122960	0	122960	0.01	0	0.01	0	0	0	0	122960
	Avarna Jain	Promoter Group	AHFPG0255P	1	5010	0	0	5010	0.00	5010	0	5010	0.00	0	0.00	0	0	0	0	5010
(b)	<b>Central Government / State Government(s)</b>	-	-	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	<b>Financial Institutions / Banks</b>	-	-	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	<b>Any Other (Specify)</b>	-	-	10	687928340	0	0	687928340	51.90	687928340	0	687928340	51.90	0	51.90	0	0	0	0	687928340
	<b>Bodies Corporate</b>			10	687928340	0	0	687928340	51.90	687928340	0	687928340	51.90	0	51.90	0	0	0	0	687928340
	Rainbow Investments Limited	Promoter	AAACR3915Q	1	587966320	0	0	587966320	44.36	587966320	0	587966320	44.36	0	44.36	0	0	0	0	587966320
	BNK Capital Markets Ltd	Promoter Group	AABCB4140N	1	29025140	0	0	29025140	2.19	29025140	0	29025140	2.19	0	2.19	0	0	0	0	29025140
	Stel Holdings Limited	Promoter Group	AADCS3332H	1	24934700	0	0	24934700	1.88	24934700	0	24934700	1.88	0	1.88	0	0	0	0	24934700
	Phillips Carbon Black Limited	Promoter Group	AABCP5762E	1	16861980	0	0	16861980	1.27	16861980	0	16861980	1.27	0	1.27	0	0	0	0	16861980
	Saregama India Limited	Promoter Group	AAACT9815B	1	12599880	0	0	12599880	0.95	12599880	0	12599880	0.95	0	0.95	0	0	0	0	12599880
	Integrated Coal Mining Limited	Promoter Group	AAACI5584L	1	10753640	0	0	10753640	0.81	10753640	0	10753640	0.81	0	0.81	0	0	0	0	10753640
	Kolkata Metro Networks Limited	Promoter Group	AABCR2354Q	1	2850000	0	0	2850000	0.22	2850000	0	2850000	0.22	0	0.22	0	0	0	0	2850000
	Castor Investments Limited	Promoter Group	AAFCC9163J	1	2500000	0	0	2500000	0.19	2500000	0	2500000	0.19	0	0.19	0	0	0	0	2500000
	Dotex Merchandise Private Limited	Promoter Group	AAACD9470A	1	413350	0	0	413350	0.03	413350	0	413350	0.03	0	0.03	0	0	0	0	413350
	Lebnitze Real Estates Private Limited	Promoter Group	AACCL2133Q	1	23330	0	0	23330	0.00	23330	0	23330	0.00	0	0.00	0	0	0	0	23330
	<b>Sub Total (A)(1)</b>			15	690770560	0	0	690770560	52.11	690770560	0	690770560	52.11	0	52.11	0	0	0	0	690770560
<b>2</b>	<b>Foreign</b>																			
(a)	<b>Individuals (Non-Resident Individuals / Foreign Individuals)</b>	-	-	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b)	<b>Government</b>	-	-	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	<b>Institutions</b>	-	-	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	<b>Foreign Portfolio Investor</b>	-	-	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e)	<b>Any Other (Specify)</b>	-	-	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	<b>Sub Total (A)(2)</b>			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	<b>Total Shareholding Of Promoter And Promoter Group (A)=(A)(1)+(A)(2)</b>			15	690770560	0	0	690770560	52.11	690770560	0	690770560	52.11	0	52.11	0	0	0	0	690770560

**NOTE:**

- Admitted under Promoter group based on the disclosure/ information filed/ received with the Stock Exchanges on 26.08.2021 and 27.08.2021
- The Promoter and Promoter Group have been segregated based on recent amendments issued by SEBI vide circular no. SEBI/HO/CFD/CMD1/CIR/P/2021/616 dated August 13, 2021.



**CESC Limited**

**Table III - Statement showing shareholding pattern of the Public shareholder**

Sl. No.	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+	(VIII) As a %	(IX)			(X)	(XI)=	(XII)	(XIII)	(XIV)			
<b>1</b>	<b>Institutions</b>																		
(a)	<b>Mutual Fund</b>		16	247510458	0	0	247510458	18.67	247510458	0	247510458	18.67	0	18.67	0	0	NA	NA	247483208
	HDFC Trustee Company Limited-Hdfc Flexi Cap Fund	AAATH1809A	1	111971910	0	0	111971910	8.45	111971910	0	111971910	8.45	0	8.45	0	0	NA	NA	111971910
	ICICI Prudential India Opportunities Fund	AAAA10038F	1	52503150	0	0	52503150	3.96	52503150	0	52503150	3.96	0	3.96	0	0	NA	NA	52503150
	UTI- Value Opportunities Fund	AAATU1088L	1	25467030	0	0	25467030	1.92	25467030	0	25467030	1.92	0	1.92	0	0	NA	NA	25467030
	Aditya Birla Sun Life Trustee Private Limited A/C Aditya Birla Sun Life Flexi Cap Fund	AAATB0102C	1	16863102	0	0	16863102	1.28	16863102	0	16863102	1.28	0	1.28	0	0	NA	NA	16863102
	DSP Equity Opportunities Fund	AAAJD0430B	1	13734910	0	0	13734910	1.04	13734910	0	13734910	1.04	0	1.04	0	0	NA	NA	13734910
(b)	<b>Venture Capital Funds</b>		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
(c)	<b>Alternate Investment Funds</b>		5	8509908	0	0	8509908	0.65	8509908	0	8509908	0.65	0	0.65	0	0	NA	NA	8509908
(d)	<b>Foreign Venture Capital Investors</b>		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
(e)	<b>Foreign Portfolio Investor</b>		168	171705015	0	0	171705015	12.95	171705015	0	171705015	12.96	0	12.96	0	0	NA	NA	171642995
	MFS International New Discovery Fund	AABTM2344J	1	30954810	0	0	30954810	2.34	30954810	0	30954810	2.34	0	2.34	0	0	NA	NA	30954810
(f)	<b>Financial Institutions / Banks</b>		19	1055870	0	0	1055870	0.08	1055870	0	1055870	0.08	0	0.08	0	0	NA	NA	981240
(g)	<b>Insurance Companies</b>		14	47617880	0	0	47617880	3.59	47617880	0	47617880	3.59	0	3.59	0	0	NA	NA	47567880
	Life Insurance Corporation Of India	AAACL0582H	1	27606600	0	0	27606600	2.08	27606600	0	27606600	2.08	0	2.08	0	0	NA	NA	27606600
(h)	<b>Provident Funds/ Pension Funds</b>		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
(i)	<b>Any Other (Specify)</b>		5	159590	0	0	159590	0.01	159590	0	159590	0.01	0	0.01	0	0	NA	NA	9090
	<b>Foreign Bank</b>		5	159590	0	0	159590	0.01	159590	0	159590	0.01	0	0.01	0	0	NA	NA	9090
	<b>Sub Total (B)(1)</b>		227	476558721	0.0	0.0	476558721	35.95	476558721	0	476558721	35.96	0	35.96	0	0	NA	NA	476194321
<b>2</b>	<b>Central Government/ State Government(s)/ President of India</b>																		
	Central Government / State Government(s)		2	105030	0	0	105030	0.01	105030	0	105030	0.01	0	0.01	0	0	NA	NA	83510
	<b>Sub Total (B)(2)</b>		2	105030	0	0	105030	0.01	105030	0	105030	0.01	0	0.01	0	0	NA	NA	83510
<b>3</b>	<b>Non-Institutions</b>																		
(a)	<b>Individuals</b>				0	0									0		NA	NA	
	<b>i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.</b>		170079	99839958	0	0	99839958	7.53	99839958	0	99839958	7.53	0	7.53	0	0	NA	NA	94114978
	<b>ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>		21	10602988	0	0	10602988	0.80	10602988	0	10602988	0.80	0	0.80	0	0	NA	NA	10602988
(b)	<b>NBFCs registered with RBI</b>		5	454000	0	0	454000	0.03	454000	0	454000	0.03	0	0.03	0	0	NA	NA	454000
(c)	<b>Employee Trusts</b>		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
(d)	<b>Overseas Depositories(holding DRs) (balancing figure)</b>		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
(e)	<b>Any Other (Specify)</b>		5753	47239173	0	0	47239173	3.56	47239173	0	47239173	3.56	0	3.56	0	0	0	0	41607093
	IEPF		1	1998170	0	0	1998170	0.16	1998170	0	1998170	0.16	0	0.16	0	0	NA	NA	1998170
	<b>Trusts</b>		12	323264	0	0	323264	0.02	323264	0	323264	0.02	0	0.02	0	0	NA	NA	323264
	<b>Foreign Nationals</b>		8	544850	0	0	544850	0.04	544850	0	544850	0.04	0	0.04	0	0	NA	NA	168790
	<b>Hindu Undivided Family</b>		2481	5071309	0	0	5071309	0.38	5071309	0	5071309	0.38	0	0.38	0	0	NA	NA	5071309
	<b>Foreign Companies</b>		31	970500	0	0	970500	0.07	970500	0	970500	0.07	0	0.07	0	0	NA	NA	0
	<b>Non Resident Indians</b>		2043	11179243	0	0	11179243	0.84	11179243	0	11179243	0.84	0	0.84	0	0	NA	NA	7276753
	<b>Unclaimed Shares</b>		1	1247330	0	0	1247330	0.09	1247330	0	1247330	0.09	0	0.09	0	0	NA	NA	1247330
	<b>Clearing Member</b>		238	5134052	0	0	5134052	0.39	5134052	0	5134052	0.39	0	0.39	0	0	NA	NA	5134052
	<b>Bodies Corporate</b>		938	20770455	0	0	20770455	1.56	20770455	0	20770455	1.56	0	1.56	0	0	NA	NA	20387425
	<b>Sub Total (B)(3)</b>		175858	158136119	0	0	158136119	11.92	158136119	0	158136119	11.92	0	11.92	0	0	NA	NA	146779059
	<b>Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)</b>		176087	634799870	0	0	634799870	47.89	634799870	0	634799870	47.89	0	47.89	0	0	NA	NA	623056890



**CESC Limited**

**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

Sl. No.	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V) + (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of	(XII)		(XIII)		(XIV)	
1	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0



## CESC Limited

Table V- Statement showing details of significant beneficial owners

Sr .No	Details of the significant			Details of the registered owner			Particulars of the shares in which		Date of creation / acquisition of significant beneficial interest (IV)
	Name	PAN	Nationality	Name	PAN	Nationality	Number of shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	
NIL									



**Annexure to Table II- Details of Shares which remain unclaimed for Promoter & Promoter Group**

<b>Details of Shares which remain unclaimed for Promoter &amp; Promoter Group</b>				
Serial No.	Number of shareholders	Outstanding shares held in demat or unclaimed suspense account	voting rights which are frozen	Disclosure of notes on shares which remain unclaimed for public shareholders
NIL				



**Annexure to Table III- A- Details of the shareholders acting as persons in Concert for Public**

<b>Details of the shareholders acting as persons in Concert for Public</b>			
<b>Sl. No.</b>	<b>Name of the PAC</b>	<b>No. of Shares</b>	<b>Percentage of shareholding by PAC</b>
<b>HDFC TRUSTEE COMPANY LIMITED</b>			
1	HDFC Flexi Cap Fund	52259250	3.94
2	HDFC Top 100 Fund	18732500	1.41
3	HDFC Balanced Advantage Fund	23030000	1.74
4	HDFC Dividend Yield Fund	7200000	0.54
5	HDFC Capital Builder Value Fund	5430000	0.41
6	HDFC Large And Mid Cap Fund	3642000	0.27
7	HDFC Infrastructure Fund	1678160	0.13
	<b>Total</b>	<b>11,19,71,910</b>	<b>8.45</b>





Annexure to Table III- B Details of Shares which remain unclaimed for Public along with such details such as number of shareholders, outstanding shares held in unclaimed suspense account, voting rights which are frozen etc.

Details of Shares which remain unclaimed for Public

Sl. No.	Description	Number of shareholders	Outstanding shares held in demat or unclaimed suspense account
1	Unclaimed Shares in Suspense Account	1	1247330

